



Sir:

PATENT Customer No. 22,852 Attorney Docket No. 08613.0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Douglas James LITTLE et al.) Group Art Unit: 2164
Application No.: 09/991,914) Examiner: Unknown
Filed: November 26, 2001)
For: METHODS AND APPARATUS FOR DEVELOPING INVESTMENTS) Confirmation No.: 7544
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of the listed foreign document is attached. Australian Patent 781699 recently issued from an application from which this U.S. application claims priority.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies the document as prior art against any claim in the application and Applicants determine that the cited document does not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: October 18, 2005

Jeffrey A\ Berkowitz

Reg No. 36,743

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IDS Form PTO/S	B/08: Substitute for for	m 1449A/PTO	18 5002 R	Complete if Known		
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STATEMENT BY APPLICANT		First Named Inventor	Douglas James LITTLE et al.			
		Art Unit	2164			
	(Use as many sheets	as necessary)		Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	08613.0002	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Cite Initials No.1	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	
	Number-Kind Code ² (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS					
Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
	AU 781699	04-10-2003			
	17.74				
		Cite No. 1 Foreign Patent Document Country Code 3 Number 4 Kind Code 5 (if known)	Cite No. 1 Foreign Patent Document Publication Date MM-DD-YYYY Country Code 3 Number 4 Kind Code 5 (if known)	Cite No. 1 Foreign Patent Document Publication Date MM-DD-YYYY Applicant of Cited Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE DOCUMENTS			
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
			

Examiner	Date		
Signature	Con	sidered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.